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Poonam Malhotra Kapoor¹, Mohit Prakash², Omer Mohammed Mujahid², Mohanish Badge², T. Thiruselvan², Sanchita Garg³

¹Department of Cardiac Anaesthesia and Critical Care, CNC, All India Institute of Medical Sciences, New Delhi, Delhi, ²Department of Cardiac Anaesthesia and Critical Care, All India Institute of Medical Sciences, New Delhi, Delhi, ³Department of Anaesthesia and Critical Care, Fortis Hospital, Mohali, Punjab, India.

*Corresponding author:

Poonam Malhotra Kapoor, Professor, Department of Cardiac Anaesthesia and Critical Care, CNC, All India Institute of Medical Sciences, New Delhi, Delhi, India.

drpoonamaiims@gmail.com

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ABSTRACT

Extracorporeal life support (ECLS) is a life support modality that is being used in life-threatening cardiac and/or respiratory failure; in neonates, children, and adults. The risk of bleeding and other coagulation-related issues is unavoidable. Hence, while conducting ECLS, a patient-tailored approach is better than the generalized approach for bleeding management. There are no established guidelines for heparin use and its monitoring during ECLS in a bleeding patient on VA ECMO. Likewise, heparin and its adjuncts, though mentioned in the literature, have no consensus on what exact steps to follow in an adverse condition. Having a protocol for anticoagulation and its monitoring is of paramount importance in any center practicing ECLS. This review aims to seek the incidence of bleeding and thrombosis while on ECMO with the use of routine anticoagulant heparin and justify the need for the use of viscoelastic tests on VA ECMO.

Keywords: Thrombosis, Heparin, Clot, Anticoagulation, Extracorporeal membrane oxygenation

INTRODUCTION

The term extracorporeal is self-explanatory (extra-outside; corpus-body). It is the process of carrying the blood outside the body and enriching it by oxygen and carbon dioxide removal (and also substrate filtration, etc.) and finally returning it to the patient. Extracorporeal life support (ECLS), thus, is taking over the patients blood on an artificial endothelium of the ECMO machine. Here the role of the heart is taken over by the centrifugal pump and the lung by an oxygenator.^[1-3] Extracorporeal membrane oxygenation (ECMO) is a life support modality that is increasingly being sought after as a rescue measure during life-threatening cardiac and/or respiratory failure; in neonates, children, and adults alike particularly post-COVID-19 pandemic.^[4]

The continuous contact of the patient's blood with an exogenous surface results in a shift of the normal hemostatic balance toward a pro-coagulant state and there is thus a risk of thrombosis at all times^[5] [Figure 1]. Administration of antithrombotic therapy is therefore essential to suppress this hemostatic activation and prevent thrombosis in both the patient and the circuit.^[5] Ideal anticoagulation on ECMO is still an unsolved issue in the conduct of ECLS. An ideal anticoagulant (AC) should achieve a desirable amount of anticoagulation along with reduced or no bleeding risks. Heparin due to its ease of administration, easy availability, and easy to neutralize with protamine has been the gold standard so far!

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Figure 1: Risk of hemolysis seen on the ECMO oxygenator.

Contact of blood and blood products with the circuit tubings and other foreign surfaces makes them vulnerable to coagulopathy. Sometimes, the dilutional effect of ECLS causes reduced factors which tend to cause more bleeding. Hence, the tight balance between bleeding and thrombosis exists when normal physiological intact endothelium is disrupted as in VA ECMO.^[6]

MATERIAL AND METHODS

This narrative review was carried out by researchers using the recommendations made by the Cochrane Collaboration and following the Preferred Reporting Items for Systematic Reviews and Meta-Analyses guidelines [Figure 2]. This study was approved by the Ethics Committee of All India Institute of Medical Sciences (AIIMS) (Trial no IECPG-22/23.01.2019) for monitoring coagulopathy of up to 70 patients on venoarterial (VA) ECMO. The protocol is also registered in PROSPERO with ID 413439. As ECMO is carried out routinely on our cardiac patients, for this review, no funding resources were used except our personal computers and software.

The primary endpoint os this research was

1. Bleeding beyond 200 mL over 48 hours with the ideal use of anticoagulant (AC) heparin and/or its alternative bivalirudin.

The secondary endpoint was the development of:

- 1. Thrombocytopenia and/or
- 2. Thrombosis (circuit and systemic) or both on VA ECMO circuit/patient for upto 48 hours.

The inclusion criteria for reviewing patient selection and monitoring on VA ECMO

The following criteria were included in the study:

- 1. Prospective observational study where heparin was used as the sole AC on ECMO and/or bivalirudin
- 2. Patients of both genders aged 1-60 years
- 3. Patients on VA ECMO post-cardiac surgery.

Exclusion criteria

The following criteria were excluded from the study:

- 1. Retrospective studies
- 2. Age >60 years
- 3. Non-cardiac surgery
- 4. Non heparin AC
- 5. Patients on pre-operative antiplatelet therapy.

Research Strategy (2019-2023)

A literature search was conducted in the main databases from 2002 to July 2023, using search engines such as MEDLINE, Google Scholar, PubMed, Cochrane, and EMBASE database. In addition, to reduce publication bias, the search was carried out on the individual citations of the articles found. A combination of the terms *ECMO*, *bleeding*, *thrombus*, *clot*, *thrombocytopenia*, and *all MeSH were used as keywords*.

Study selection

Two authors independently assessed the inclusion criteria in all selected studies by title and abstract. Two authors extracted the data from the studies chosen with full text, and in the case of disagreements, the issue was resolved with a third author. The data were entered into the Stata software to assess its accuracy [Figure 3]. Selective reporting is an important and notorious bias in these kinds of studies. Hence, they were taken care of vigilantly by both the chief researchers.

MONITORING ANTICOAGULATION ON ECMO

The majority of monitoring tests used for monitoring anticoagulation on ECMO are plasma-based tests. These latter tests include, activated partial thromboplastin time (aPTT) of Kaolin, and activated clotting time (ACT) both of which measure coagulation partially, and do not take into account platelet function or clot strength. The information about the platelet function for which platelet function assay-100 is to be utilized is generally not available in most ECMO centers as it is expensive. Viscoelastic tests (VET) are whole blood tests, which measure both clot strength and platelet function but are not routinely employed during ECMO. They are expensive and also require expertise in their performance and interpretation for the underlying deficiency factor causing ECMO bleeding or thrombosis [Table 1]. Besides, their availability even in 2023, in most ECMO centers of India, is scarce. Factors associated with increased risk of thrombosis are listed in Table 2.

Therapeutic monitoring of anticoagulants on ECMO

The best method to monitor the efficacy of both unfractionated heparin (UFH) and direct thrombin inhibitors (DTI) on ECMO







Figure 3: Risk of bias assessment done on venoarterial extracorporeal membrane oxygenation (ECMO) patients, while monitoring bleeding and thrombosis, with the use of ECMO.

Table 1: Tests for coagulation.							
S. No.	Test	Sample to be sent	Indication	Target Range	Advantages	Disadvantages	
1.	ACT	Whole blood	To monitor anticoagulant effect	180–220 s	 Point-of-care test Low cost Easy to perform Simple operation Best correlates with high concentrations of heparin Needs small sample volume. 	 User and instrument dependent results Lack of specificity Result affected by platelet dysfunction, platelet inhibitors (e.g., GP IIb/IIIa), temperature, hematocrit, blood thrombocyte activity, hemodilution, anemia, hypothermia, coagulation factors deficiencies, hypofibrinogenemia, fibrinogen, thrombocytopenia, AT level, oral coagulants, and activator type. 	
2.	aPTT	Plasma	To monitor AC effect	25–90 s or 1.5–2.5 times baseline (depending on the device, AC, and utilized method)	 Well known method Widely available Easily interpreted Not affected by platelet count 	 Time-consuming Not specific to heparin User-dependent Result affected by drugs, hematocrit, abnormalities in coagulation factors, fibrinogen, high CRP Lupus AC causes prolongation of result. 	
3.	D-dimer	Whole blood or plasma	To monitor fibrin formation and fibrinolysis in the circuit and patient's body	0.28–1 mg/L	 Prognostic value for oxygenator failure High sensitivity. 	Time-consumingRelatively expensiveModerate specificity.	
4.	PT/INR	Plasma	To assess coagulation deficit To monitor Vitamin K antagonists	<1.5 for heparin-treated patients>2–3 for other ACs	• Indicator of various factors including factors I, II, V, VII, and X	• Deficits in common factors I, II, V, and X can prolong PT.	
5.	Anti-factor Xa assay	Plasma	To monitor AC effect	0.3–0.7 IU/mL	 Sensitive to UFH Results are independent of coagulopathy, thrombocytopenia, or dilution Correlates better than ACT and aPTT with heparin concentration. 	 Affected by hyperlipidemia and hyperbilirubinemia, hemolysis, lipemia, AT, and high plasma-free hemoglobin Not easily accessible Costly Time-consuming Only measures inhibition while not showing the amount of fibrin and thrombin generated. 	
6.	ECT	Plasma	To monitor the effect of DTIs	300–500 s	 Simple operation Not sensitive to heparin 	 Rely on both prothrombin and fibrinogen Lack of standardization and uniformity Results affected by DTIs 	
7.	Viscoelastic tests (ROTEM/ TEG)	Whole blood	To assess clotting time (AC effect), thrombocytopenia, hypofibrinogenemia, and fibrinolysis	Not established	 Point-of-care test Distinguishing clotting factor deficiency, platelet dysfunction, and hyperfibrinolysis 	 Poor specificity Low sensitivity to hyperfibrinolysis Sensitive to vibration Extended clotting time in the presence of lupus AC Lack of standardization and uniformity 	
CRP: C-Reactive Protein, aPTT: Activated partial thromboplastin time, PT/INR: Prothrombin time/international normalized ratio, DTI: Direct thrombin							

Malhotra Kapoor, et al.: Viscoelastic testing on VA ECMO

CRP: C-Reactive Protein, aPTT: Activated partial thromboplastin time, PT/INR: Prothrombin time/international normalized ratio, DTI: Direct thrombin inhibitors, ECT: Ecarin clotting time, ACT: Activated clotting time, ROTEM: Rotational thromboelastometry, TEG: Thromboelastography, UFH: Unfractionated heparin. # 2–6 are plasma-based tests. # 1 and 7 are point of care whole blood tests, AC: Anticoagulant

is yet uncertain. This is because we are uncertain of the *in vitro* and *in vivo* responses of these drugs to the artificial ECMO endothelial surface. VET is a whole blood test and better than the aPTT type of tests which are plasma-based [Table 1]. The most commonly used tests for the assessment of the AC status of a patient on ECMO are ACT, activated prothrombin time (aPTT), anti-activated factor X assay (anti-Xa), and VET.^[7] A brief description of each test is narrated below [Table 3].

ACT

ACT is a point-of-care test for assessing global hemostasis. The test utilizes whole blood and, therefore, acts as a functional test for the effects of coagulation factors and platelets. Its results can be confounded by the presence of anemia, thrombocytopenia, hypofibrinogenemia, GP IIb/IIIa antagonists, hemodilution, and hypothermia. It is the most commonly used point-of-care test to monitor the state of anticoagulation in ECMO patients.^[8]

aPTT

aPTT is a plasma-based test for assessing both the intrinsic and common coagulation pathways. The results are institution or laboratory-specific and, hence, the results are to be analyzed with caution.

In most laboratories, the normal range is approximately 25–35 s. One of its advantage is that its results are not affected by

Table 2: Factors associated with increased risk of thrombosis.

Factors associated with increased risk of thrombosis

Circuit/equipment

- Low circuit flow
- Insufficient volume
- Pump speed
- Blood flow velocity
- Kinks or obstructions in the flow
- Malpositioning
- Large size of ECMO cannulas
- Shear stress

Patient factors

- Prolonged duration of ECMO therapy
- Advanced age
- Sepsis
- aPTT≤50 s
- Elevated D-dimer levels
- HIT

And multitude of other factors that activate platelet and coagulation cascade may be contributing to this increased risk of cannula-associated DVT and VTE in general prolonged periods of time, advanced age, and those who had developed an infection during treatment

ECMO: Extracorporeal membrane oxygenation, DVT: Deep vein thrombosis, VTE: Venous thromboembolism

hematocrit or platelet count. Its value has been found to correlate better with UHF concentrations during ECMO support than ACT levels. aPTT is a sensitive test for monitoring UFH concentration at the lower range (0.1 and 1 U/mL) while ACT is more useful at the higher range (1–5 U/mL).^[9] Its normal range on ECMO is 45–60 s. Rajsic *et al.* did not find strong evidence supporting the aPTT-guided monitoring during ECMO. Based on the weight of the available evidence, further randomized trials are crucial to clarify the best monitoring strategy.^[10]

Anti-Xa assay monitoring

Anti-Xa assay provides a functional assessment of the ability of UFH to catalyze the inhibition of Xa by antithrombin III (AT). The results can be falsely affected by hyperbilirubinemia, hyperlipidemia, and high plasma-free hemoglobin. Chromogenically measured anti-factor Xa activity in IU is equated to UFH levels based on protamine sulfate titration. Therefore, it is only a measure of the "heparin effect" and not other coagulation parameters.^[11]

Role of VET in monitoring heparin anticoagulate: AIIMS experience (2018–2023)

VET such as Sonoclot, thromboelastography, and rotational thromboelastometry (ROTEM) measures the ability of whole blood to clot. They take into account, the property of a whole blood sample to clot under low shear stress. This allows the platelet function to be measured along with ongoing fibrinolysis. They can help to determine the need for appropriate blood components using a single test on ECMO and, thus, do away with packed red blood cells (RBCs) transfusion, when the hematocrit remains maintained on ECMO.^[12,13]

In a study done at our institute (2016-2023) on 68 patients, the authors have discovered in a TOF patient on VA ECMO a signature curve on Sonoclot, showing poor platelet function, and needing as many as 10 numbers of single donor platelet (SDP) over 24 hours on VA ECMO. Reexploration was thus prevented for same, when bleeding subsided with SDP transfusion while ECMO continued. Patient improved and on 14 patients we observed platelet function on Sonoclot (Pfson) to be a good prognostic parameter for VA ECMO bleeding. The Sonoclot parameters Pfson alongwith, FIBTEM on ROTEM was also found to be an excellent VET to be done at the bedside on cyanotic patients. The Sonoclot Signatures done in cyanotic congenital surgery patients on VA ECMO at our center are shown in Figure 5a-e, showcasing the normal causes of bleeding/ thrombosis on VA ECMO, such as platelet dysfunction, hyperfibrinolysis, hyperfibrinogenemia, or heparin influence.

Platelet Function Tests in CABG on ECMO

In adult coronary artery bypass grafting patients on ECMO, we performed a platelet function test (PFT), as shown in



Figure 4: (a-d) Sonolcot curve with platelet dysfunction in a Tetralogy of Fallot patient on venoarterial extracorporeal membrane oxygenation (ECMO) showing four different scenarios. (a) Normal hemostasis, (b) Low factors and poor platelet function, (c) Hypercoagulable tendency, signature curve on Sonoclot (d) Post-protamine for poor platelet function in a post-TOF patient on ECMO.



Figure 5: (a-e) The use of rotational thromboelastometry (ROTEM) based viscoelastic test (VET) and platelet function tests (PFTs) to guide and monitor the correct component therapy. Figure a and b are cases of heparin infusion needing only protamine, showcasing heparin influence which necessity stopping heparin and giving protamine. Anticoagulation in extracorporeal membrane oxygenation (ECMO), done on venoarterial ECMO patients, shows the importance of ROTEM-based VET such as CT EXTEM and ADPTEM PFTs to detect the bleeding causes and factor deficiency. (e) shows good area under the curve in 10 minutes using TRAPTEM PFT reagent. This implies good platelet function, so no platelet transfusion is needed.

[Figure 4a-d]. We observed, postoperatively in the intensive care unit (ICU) when on VA ECMO, a low-dose protamine with platelet concentrates to be the optimal therapy to give to these bleeding ECMO patient, rather than transfuse packed RBC whole blood, which may be harmful and cause adverse lung, injury (TRALI).

Table 3: Transfusion of blood components on VA ECMO using
thromboelastogram (TEG) followed at AIIMS.R time in the heparinase cuvette
greater than 11 minutesGive FFPR time more than 2 minutes
shorter in the heparinase cuvetteGive additional ProtamineIf fibrinogen level was less than
100 mg.dLCryo precipitate was givenIf Maximum Amplitude (MA) was
less than 48 mmPlatelet

The AIIMS experience in a nutshell

At AIIMS, since its inception in 2002, complications of bleeding on VA ECMO, have reduced. Latter is chiefly due to timely insertion of ECMO (the use of integrated ECMO), the reduction in the heparin dosage with prolonged duration of ECMO, use of bivalirudin in ECMO patients with Heparin-induced thrombocytopenia (HITT), and routine use of Sonoclot / or ROTEM in a bleeding ECMO patient. Furthermore, judicious use of blood and blood products in a restricted manner helps prevent transfusion problems. ECMO has to be conducted in a systematic and protocolbased way to ensure safety and troubleshooting timely. For this, an institute must devise their own algorithms to follow the ROTEM parameters and interpret them stepwise giving the right component therapy when needed [Figure 5] [Tables 3 and 4].^[14-20]

Table 4: AIIMS Experience: Result of ROTEM based VET on VA ECMO.				
ROTEM tests: Parameters that diagnose faster and more accurate bleeding	Major observations on ROTEM during ECMO – In AIIMS patients adapted from our own references			
 ROTEM Parameters: CT in Extem and Intem were monitored Clot strength via maximum clot firmness in extem and intem Fibrinogen activity (MCF) in Fibtem Fibrinolysis ML in extem and intem ADP and Traptem for platelet function testing. 	 CT of Extem low in majority approximately 50% indicating likely factor deficiency CT Intem is normal indicating minimal contribution of heparin to coagulopathy MCF reflecting the clot quality in normal range or high in majority but when low a predictor of bleeding ADP induced tests were low in 72% of patients on ECMO of prolonged duration Trap induced tests were low in 50% of patients beyond 5 days on ECMO.^[7] 			

CT: Clotting time, ML: Maximum lysis, ROTEM: Rotational thromboelastometry, VA ECMO: Venoarterial extracorporeal membrane oxygenation, ECMO: Extracorporeal membrane oxygenation, VET: Viscoelastic test, MCF: Maximum clot firmness



Figure 6: (a) Algorithms for detecting and managing coagulation and bleeding problem on venoarterial extracorporeal membrane oxygenation, followed at AIIMS in adult coronary artery bypass grafting patients and (b and c) pediatrics patients.

ALGORITHMS PAVED THE WAY TO GUIDE RIGHT THERAPY [FIGURE 5]

A total of 68 patients on VA ECMO were detected by TORCH test for thrombus. Thrombosis in 14 of 68 patients with the VET use and in one patient on bivalirudin infusion. aPTT and ACT alone did not detect any thrombosis while



Figure 7: Bivalirudin thrombosis in the veno arterial extracorporeal membrane oxygenation (ECMO) Circuit seen with bivalirudin anticoagulation with cardiohelp oxygenator patient, a known case of HITT survived by stopping all anticoagulation and changing the ECMO circuit.

on VA ECMO, up to 48 hours of heparin/bivalirudin initiation. Algorithms to showcase, which blood component therapy to use, and at what VET test observation, helped us to better our results. Some of our observations are shown in Table 3 (on TEG) and Table 4 (on ROTEM - platelet aggregometry) [Tables 3 and 4].

THROMBOSIS IN THE ECMO CIRCUIT IS A COMMON OCCURRENCE ON ECMO AND IS A DISASTER TOOL: CLOSE VIGILANCE FOR CLOTS IS ESSENTIAL BY ALL IN THE ICU

The reported incidence of thrombosis in an ECMO circuit varies from 10% to 81%.^[21] Once clotting is initiated on the artificial EC surface, it continues to propagate over time. Blood flow resistance declines and the oxygenator and pump begin to fail. Visual inspection alone with the torch test tends to underestimate the incidence of circuit thrombosis. Examination of the ECMO circuit's post-removal has revealed thrombi in 34% oxygenators and 56% tubings and pumps. Visual inspection along with monitoring for changes in the patient's coagulation profile, requires certain hematological parameters, and changes in pressure and blood gases across the oxygenator can help predict about 50% of the cases with acute mechanical ECMO failure including thrombosis of the oxygenator or blood pump, thus converting an emergency into an elective situation.^[22] A local institutional troubleshooting algorithm [Figure 6] should be devised to aid in the prevention of excessive bleeding or thrombosis [Figure 7].^[23-25]

BIOLINE AND HEPARIN-BONDED CIRCUITS ARE NEED OF THE HOUR

At present, available commercial ECMO circuits are mostly some combination of the first two categories, for example, Bioline by Maquet, which has human albumin coating along with heparin bonded into the circuit.^[26,27] With the use of bioline and heparin bonded circuits, there is a reduction in inflammatory response, fibrinolysis, and thrombocytopenia recued bleeding, thus a reduction in blood product



Figure 8: ROTEM in bivalirudin thrombosis: EXTEM parameters showed a prolonged clotting time of 173 s, clot formation time of 361 s and decreased A5, A10, and A20 parameters.



Figure 9: ROTEM in bivalirudin thrombosis: The FIBTEM analysis showed a prolonged clotting time of 146 s with a normal A5 and A10 of 15 mm and 17 mm, respectively, and an MCF value of 19 mm implying a good hemostatic reserve and pointing toward a thrombocytopenic picture.



Figure 10: ROTEM in bivalirudin thrombosis: In INTEM and HEPTEM clotting time prolonged both pointing toward platelet dysfunction as shown in prolonged CT.

usage.^[28,29] Heparin-bonded surfaces are currently most popular commercially.^[30] Evidence for clinical efficacy or impact on patient outcomes is frequently conflicting or comes from poor quality evidence as blinded, randomized trials are nearly impossible to conduct.^[31]

WHAT IS NEEDED TO PREVENT THROMBOSIS?

VA ECMO bleeding may need surface modification

- A) Altering the physicochemical properties (hydrophobicity or hydrophilicity) of the membrane surface, blunting the hemostatic response: For example, the extracorporeal circuit surface is coated with phosphorylcholine, albumin, or poly-2-methoxyethyl acrylate
- B) AC graft onto the extracorporeal surface: Here, the extracorporeal surface is coated with heparin, nitric oxide, or DTI
- C) Endothelialization of the blood-contacting surface.

ROTEM and bivalirudin: The confounding challenges ahead

In three of our VA ECMO patients treated with bivalirudin anticoagulation [Figures 8-10], we saw prolonged CT in INTEM and HEPTEM as markers of platelet dysfunction and thrombosis on VA ECMO. Some were quoted recently in the literature by Parastatidou *et al.*^[32] Prolongation of CT on INTEM and HEPTEM has shown a moderate to strong correlation with traditional laboratory tests such as aPTT and HPTT (aPTT with Hepzyme).^[18] ROTEM can be a way forward for monitoring bivalirudin therapy.^[33]

CONCLUSION

While conducting VA ECMO on a cardiac surgical patient, a tailored approach rather than a generalized approach is preferred. Even though heparin is the most widely used anticoagulant, other heparin adjuncts like bivalirudin should be used in an ECMO Center.

Monitoring during ECLS is of paramount importance in diagnosing coagulopathy which helps in judicious use of blood and blood products and also early diagnosis of life-threatening thrombotic complications. No universally accepted protocols for monitoring anticoagulation on ECMO exist, but we all universally follow the ELSO guidelines updated in 2021;^[34] usually, in which the authors reiterate the use of a combination of tests to detect the coagulopathy.^[35-37]

Extensive research on modifications of extracorporeal surfaces is ongoing, few are applicable outside the laboratory. Each ECMO unit should formulate its algorithm based on experience, cost, complication rates and outcomes, and patient factors. Such algorithms should include the risk of bleeding and thrombosis, which requires VET monitoring and interpretation in expert hands. Timely ECMO insertion and anticoagulation use is the key to preventing both bleeding and thrombosis on ECMO. VET should be used timely and routinely to prevent the occurrence of both on VA ECMO. VET and PFTs are not done routinely in most ECMO centers and it is time to change this.

Declaration of patient consent

The authors certify that they have obtained all appropriate patient consent.

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Conflicts of interest

Poonam Malhotra Kapoor is the member of the Editorial Board.

Use of artificial intelligence (AI)-assisted technology for manuscript preparation

The author(s) confirms that there was no use of artificial intelligence (AI)-assisted technology for assisting in the writing or editing of the manuscript and no images were manipulated using AI.

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